Procedure for requesting a waiver of the external nature of a rapporteur.

PASSED BY THE COUNCIL OF THE DOCTORAL COLLEGE AT ITS MEETING ON MARCH 16, 2016

Regulatory framework - Extracts from the [decree of May 25, 2016](https://www.legifrance.gouv.fr/affichTexte.do?cidTexte=JORFTEXT000032587086) setting the national framework for training and the procedures leading to the award of the national doctoral degree and the [internal regulations of the doctoral college](https://www.universite-paris-saclay.fr/fr/doctorat/documents-de-reference/inscription-reinscription) of the University of Paris-Saclay.

« "The PhD student's work is examined beforehand by at least two referees **appointed by the head of the institution**, who are qualified to direct research or who belong to one of the categories mentioned in 1° and 2° of article 16 of the present decree, **on the proposal** of the director of the doctoral school, **after the opinion of the thesis director**. [...]. Unless the disciplinary field or the content of the work does not allow it, the **two** referees are **external to the doctoral school** and **to the PhD student's institution**. They may belong to foreign higher education or research institutions or other foreign organizations. The rapporteurs have **no involvement in the PhD student's work**. »

« **Exceptional circumstances** may justify requests for derogations regarding the choice of rapporteurs. When a **majority of the national research potential** is grouped within the same doctoral school of the University of Paris-Saclay, a motivated request for a derogation can be submitted **to the council of the doctoral school,** so that one of the two rapporteurs can be chosen from within the University of Paris-Saclay **or** the doctoral school. The latter **must be outside** the **PhD student's research unit**, must **not** have signed any **joint publications** with the PhD student's thesis director **in the last five years** and must not be involved in a **collaborative research project with the thesis director** at the time of the request for exemption. »

Each doctoral school is required to make public any school-specific criteria that a PhD student must meet in order to be allowed to defend and to specify them in its internal regulations. »

Procedure

The request for a waiver of the external nature of **a** rapporteur must be accompanied by a **file** and must be **justified**. The [legitimacy, expertise and independence](https://www.universite-paris-saclay.fr/fr/la-composition-du-jury) of the rapporteur must be guaranteed. The **exceptional circumstances** that justify the request must be specified, as well as the situation of the national research potential with respect to the Université Paris-Saclay.

The director of the doctoral school organizes the consultation of its **doctoral school council**, and then formulates a reasoned opinion in line with the opinion of the doctoral school council on the derogatory proposal for a rapporteur presented to the head of the institution, **accompanied by the supporting documents in the file.**

# **Application form for a waiver of the external nature of a reporter**

TO BE PROVIDED TO THE DIRECTOR OF THE DOCTORAL SCHOOL, ALONG WITH THE DOCUMENTS IN THE FILE

Reasons for the request, by the thesis director

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Place ………………….., Date …………………

Signature of the thesis director

Reasoned opinion of the director of the research unit on the application

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Place ………………….., Date …………………

Signature of the director of the research unit

Supporting documents to be provided in support of the application

* Application form for waiver of external nature of a reporter
* CV and list of scientific productions of the PhD student
* CV, list of scientific productions and list of collaborative research projects of the thesis director over 5 years
* CV, list of scientific productions and list of collaborative research projects of the planned rapporteur over 5 years