Request for a waiver of the public nature of the defense (behind closed doors)

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| Article 19 of the decree of May 25, 2016 setting the national framework for training and the terms and conditions leading to the award of the national doctoral degree: "The defense is public, except for an exemption granted exceptionally **by the head of the institution** if the subject of the thesis is of a proven confidential nature. " |

# **Framework of the request**

In accordance with article 19 of the decree of May 25, 2016 establishing the national framework for training and the procedures leading to the award of the national doctoral diploma, if the thesis is of a proven confidential nature, **a waiver of the public nature of the defense** (defense behind closed doors) may be requested by the doctoral student to the president of the University of Paris-Saclay, within a minimum period of 3 months before the defense.

The reasoned request must be provided to the department in charge of the defenses at the same time as the request for the nomination of the rapporteurs and the jury.

* If **the thesis is classified as confidential** by Université Paris-Saclay, then **the rapporteurs and the members of the Jury**, who will have to know the content of the thesis, will have to establish and sign a **confidentiality agreement** before a copy of the thesis is provided to them.
* If the **defense behind closed doors** is authorized, the **public** attending the defense must sign a **confidentiality agreement** before being allowed to enter the room where the defense will be held on the day of the defense. Please note that a closed defense cannot be held by videoconference.

The signed confidentiality agreements must be given to the department in charge of defenses and transmitted to the library at the time of the appointment for the legal deposit of the thesis, so that the confidentiality conditions can be taken into account.

# **Reasoned request**

Name of the thesis :

Doctoral school :

Estimated date of defense :

We, the undersigned,

*civility, name, first name of the thesis director*

and

*civility, name, first name of the doctoral student*

request, from the president of the Université Paris-Saclay,

* a waiver of the public nature of the doctoral defense, for a thesis with a proven confidential nature
* a confidential classification of the thesis for a period of **......... months.**

We are informed that the doctoral defense can only take place behind closed doors if there is a prior confidentiality agreement with the rapporteurs and the members of the Jury and a confidentiality commitment from the public.

We are also informed that a closed doctoral defense must be accompanied by restrictions on the circulation of the thesis.

We certify that all alternatives to behind closed doors sessions have been explored and that they do not allow a proper evaluation of the work or do not guarantee the confidentiality of the work.

**Reason(s) for the request[[1]](#footnote-1) :** ………………………………………………………………………………………………...

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***2*** *Provide any supporting documents to justify this request - for example, a copy of a research collaboration contract with a confidentiality clause, a copy of an email exchange with the patent office*

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| *Name, dirst name, date and signature of the PhD student* | *Name, dirst name, date and signature of the thesis director* |
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| *Name, first name, date and signature of the director of the research unit* | *Name, first name, date and signature of the director of the research unit* |
| Favourable / unfavourable opinion* to the confidentiality of the thesis
* at the closed-door defense
 | Favourable / unfavourable opinion* to the confidentiality of the thesis
* at the closed-door defense
 |

**The President of the University of Paris-Saclay, Sylvie Retailleau:**

* grants the request for a waiver of the public nature of the doctoral defense, for a thesis with a proven confidential nature
* authorizes the classification of the thesis as confidential for the duration requested,

At Paris-Saclay, by delegation of the president of the University of Paris-Saclay, Sylvie Retailleau, the deputy vice-president for PhD studies, Sylvie Pommier,

1. [↑](#footnote-ref-1)