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Here, open = non-isolated (ie « open » or « closed » in the thermodynamic sense)



Open systems are ubiquitous

Uncontrolled : atom in vacuum, electrons in a solid, nanomechanical systems, ... Controlled : manipulation, engineering, measurements Formal : A small part of a larger system

Many physical consequences

Spontaneous emission of individual atoms Emergence of statistical physics Decoherence, classical/quantum frontier Theory of quantum measurement Relaxation (NMR, 1950's ; Optical pumping, 1960's ...) Control of individual quantum systems



ultracold atoms



superconducting circuits



artificial ion crystals



cavity polaritons



cavity QED



nanomechanical devices

How to describe the dynamics of an open quantum system ?



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Wavefunction approach

Schrödinger equation

$$i\hbar \frac{d|\Psi_{\rm s}\rangle}{dt} = \hat{H}_{\rm s}(t)|\Psi_{\rm s}\rangle$$

Superpositions, unitarity, reversibility

$$|\Psi_{\rm s}(t)
angle$$
= $\hat{U}_{\rm s}(t)|\Psi_{\rm s}(0)
angle$

 $|\Psi_{
m S}(0)
angle = \hat{U}_{
m S}^{\dagger}(t)|\Psi_{
m S}(t)
angle$

How to describe the dynamics of an open quantum system ?



Wavefunction approach

Schrödinger equation

$$i\hbar \frac{d|\Psi_{S\otimes B}\rangle}{dt} = \hat{H}_{S\otimes B}(t)|\Psi_{S\otimes B}\rangle$$

Superpositions, unitarity, reversibility

$$|\Psi_{_{\mathrm{S}\otimes\mathrm{B}}}(t)
angle = \hat{U}_{_{\mathrm{S}\otimes\mathrm{B}}}(t)|\Psi_{_{\mathrm{S}\otimes\mathrm{B}}}(0)
angle$$

 $|\Psi_{_{\mathrm{S}\otimes\mathrm{B}}}(0)
angle = \hat{U}^{\dagger}_{_{\mathrm{S}\otimes\mathrm{B}}}(t)|\Psi_{_{\mathrm{S}\otimes\mathrm{B}}}(t)
angle$

⇒ Keeps all about S⊗B, but information on S is hidden

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Superpositions, unitarity, reversibility $|\Psi_{S\otimes B}(t)\rangle = \hat{U}_{S\otimes B}(t)|\Psi_{S\otimes B}(0)\rangle$

 $|\Psi_{_{\mathrm{S}\otimes\mathrm{B}}}(0)
angle = \hat{U}^{\dagger}_{_{\mathrm{S}\otimes\mathrm{B}}}(t)|\Psi_{_{\mathrm{S}\otimes\mathrm{B}}}(t)
angle$

⇒ Keeps all about S⊗B, but information on S is hidden

Description by the density operator

Master equation

$$\frac{d\hat{\rho}_{\rm S}}{dt} = \mathscr{L}[\hat{\rho}_{\rm S}(t)] = \frac{1}{i\hbar} [\hat{H}_{\rm S}, \hat{\rho}_{\rm S}(t)] + \mathscr{L}'[\hat{\rho}_{\rm S}(t)]$$

Non-unitary map, irreversibility/relaxation, loss of coherence

$$\hat{\rho}_{\rm S}(t) = K_t [\hat{\rho}_{\rm S}(0)]$$

⇒ Relevant formalism for an open system (information on B is traced out)

Scope of these Lectures



Work within the scope of the density operator

How to derive a master equation ?

Not easy : How to deal with the information leak towards B, memory effects ? Not so clear that B can be traced out ...

What is the physical content of the master equation (emergence of statistical physics, manipulation, control ...)?

How to relate the trajectory of an individual quantum system to the dynamics of the density operator ?

Probable Programme

- 1. Introduction to open quantum systems
 - 1.1 Position of the problem
 - 1.2 Simple approaches
 - 1.3 The density operator (reminder ... or not)
- 2. Master equation : The statistical physics approach
 - 2.1 Formal derivation of the master equation
 - 2.2 Statistical equilibrium : The damped harmonic oscillator
 - 2.3 Driven systems : The Optical Bloch equations
- 3. The quantum information approach
 - 3.1 Krauss operators
 - 3.2 Lindblad form of the master equation
 - 3.2 Quantum jumps and stochastic wavefunctions
- 4. Decoherence, entanglement, and control

Some References

L. Sanchez-Palencia and P. Zoller, *Lecture notes of the 2019 Les Houches summer school* (on arXiv in 202X, with X~0)

C. Gardiner and P. Zoller, *Quantum noise* (Springer-Verlag, Berlin, 2004)

Le Bellac, *Quantum physics* (Cambridge Univ Press, UK, 2012)

C. Cohen-Tannoudji, J. Dupont-Roc, and G. Grynberg, *Atom-photon interactions: Basic processes and applications*, (Wiley & sons, 1998)

S. Haroche, lectures at Collège de France (2003-04) ; available on line at https://www.college-de-france.fr/site/serge-haroche/_course.htm

Introduction to goen quantum systems

⇒ See slides #1

1. Position of the problem

Bath of Rom. sail? シミ $\hat{H}_{B} = \sum \bigtriangledown$ H, (E) = 2 ha, (b, b, +1/2) External drive) $e = (\alpha_e, \dots)$ => unspeaked Fork statos: ISNe, CENY? $= \bigotimes |N_e\rangle$ Corpling : HI assume Hy << Hs, Ho (be + INe ? = JNe+ 2/Ne+ 2) 6. INE? = N. IN. - 17 -> Weake g affects 3 -> May strongly affect S. => flige (is a quesicontinuum)

Single example:

An atom coupled to the electro-magnetic (E-n) field [tay 19]

polarisations

Bork B:

System S

Quantized E-17.



Japhines : Dipolar approx. $H_{I} = -\overline{D}_{A/s} \cdot \overline{E}'\overline{R}'$ $\frac{a \ tom}{\overline{D}} = \overline{J}' | e > eg \ | + \overline{A}'^{*} | g > e \ | \qquad field$ $\overline{E}'\overline{R}' = \sum_{e} \left(i \xi_{e} \cdot \overline{\xi}_{e} \cdot e^{i \overline{K}_{e}} \cdot \overline{R}_{e} \right)$ + H.c.

Rotating wave approx. (KWA):

) le>2g/bz $\hat{H}_{I} = \sum_{i=1}^{n} \left[\left(\right) \right]$) 10751 bet 7 () 13 >cet by + () 1->cg1be+ (+ (

2. Warfunction opproaches Write 19(4) > sob , initially 117 coupling to 157?. 9.1 Fami golden suk @ 117 coupled to a continuum of 15%. interaction $\int cigarita (c)$ pieture $\int \mathcal{G} \mathcal{H} = \mathcal{H}_{s} + \mathcal{H}_{s}$ $\mathcal{G}(0) = \mathcal{G}_{s}$ Schrödinger og - pert. Krog (Hg (Hg)) Ð

$$\Rightarrow W_{i \rightarrow g} = \frac{|\langle g| + |_{f} |_{i} \rangle|^{2}}{\frac{4}{7}^{2}} t^{2} sinc \left[\frac{\langle E_{f} - E_{i} \rangle}{2t}\right]$$

Cor 2: A unique 137 : Rahi Di Clatim.





cose 2: coupling to a continuum



sinc $(1 \rightarrow d = \delta(E_j^{\circ} - E_j^{\circ})$ -> valid for DE >> 2117/F

Remaks: 1) Energy selection (time). 2 continuou is crucial 1) Energy/ line seeks. $\frac{2\pi 4}{\Delta E} \ll E \ll \frac{2}{\Gamma}$ (linea kay is an atifact of part. Hh Neglecting charace, alessical vate $\Lambda I_i(t) = -\sum_{\substack{j \neq i}} \Lambda W_{i-y} I_i(t) = -T A_t I_i$ $\Rightarrow | \underline{P}, 1+ \rangle = e^{-rt} |$ 2.2 Weiss Kopf-Wignon zoponeh -> non perturbative

-> non particulation -> Two-uveral syst. - 19> Both, initially in 10> ==

& coupling $\hat{H}_{\pm} = \frac{\sum \left[\frac{1}{e} g_{e} \right] e^{2\epsilon g_{e}} \hat{h}_{e} + H.c.$ (#1+1) = ~(t) e - iast 1e, 07 Pyromics: + Z B, (+) 2 - i wet 19, 1,7 Schrödingen $\dot{z}(t) = -\sum_{e} |g_e|^2 \int d\tau \ z(t-\tau)e^{-i(k_e - c_e)\tau}$ => Intego- diff. equation (hard to solve)

Weisskapf-Wigner (Markor) apprel:

Hyp: HIJ is weak. ๙(๛ๅ) ~r << worker

 $\dot{a}(t) = a(t) \sum_{e} \left[\frac{1}{g_e} \left[\frac{1}{T} \delta(\alpha_s - \alpha_e) + i S\left(\frac{1}{\alpha_s - \alpha_s}\right) \right] \right]$

=> Malear.

$$i(H) = -\left(\frac{T}{2} + i\Delta\right) \perp I(H)$$

$$\Rightarrow \left(\perp I(H) = \perp I(0) e^{-(T/2 + i\Delta)}\right) + \left(\frac{T}{2} + \frac{1}{2} + \frac{1}{2$$

3. The density operator

⇒ See olides # 2

Description of a Non-Isolated System

In general, a non-isolated system S cannot be described by a ket $|\Psi_s\rangle$

Example : An atom coupled to the vacuum (spontaneous emission)

 $|\Psi(0)\rangle = |e, \vec{p} = 0\rangle_{A} \otimes |0\rangle_{R}$ atom-radiation field coupling $|\Psi(t)\rangle = \alpha_{0}(t)|\mathbf{e}, \vec{p} = 0\rangle_{\mathbf{A}} \otimes |0\rangle_{\mathbf{R}} + \sum_{\ell} \beta_{\ell}(t)|\mathbf{g}, \vec{p} = -\hbar \vec{k}_{\ell}\rangle_{\mathbf{A}} \otimes |1\rangle_{\ell}$ $\vec{p} = -\hbar \vec{k}_{\ell} \qquad -|\mathbf{g}\rangle$ $|\Psi'\rangle = |g, \vec{p} = -\hbar \vec{k}_t \rangle_A \otimes |1\rangle_t \quad \text{with probability} \quad \Pi_t \propto |\beta_t|^2$ $|\psi'\rangle_A = |g, \vec{p} = -\hbar \vec{k}_t \rangle_A \quad \text{with probability} \quad \Pi_t \propto |\beta_t|^2$



 \Rightarrow The system is « prepared » in a non-well defined state

Density operator

Assume that the system S is prepared in the ket $|\Psi_n\rangle$ with probability Π_n .

The average value of an observable \hat{O} reads as $\langle O \rangle = \sum_{n} \prod_{n} \langle \Psi_{n} | \hat{O} | \Psi_{n} \rangle$.

 \Rightarrow The « state » of the system is thus defined by the ensemble { Π_n , $|\Psi_n\rangle$ }.

To merge all the information about the « state » of S in a single quantity, write

$$\langle O \rangle = \sum_{n} \prod_{n} \langle \Psi_{n} | \hat{O} | \Psi_{n} \rangle = \sum_{n} \sum_{\alpha} \prod_{n} \langle \Psi_{n} | \hat{O} | \alpha \rangle \langle \alpha | \Psi_{n} \rangle = \sum_{\alpha} \sum_{n} \langle \alpha | \Psi_{n} \rangle \langle \Psi_{n} | \hat{O} | \alpha \rangle$$

$$= \sum_{\alpha} \langle \alpha | \left(\sum_{n} \prod_{n} | \Psi_{n} \rangle \langle \Psi_{n} | \hat{O} \right) | \alpha \rangle$$

$$= \sum_{\alpha} \langle \alpha | \left(\sum_{n} \prod_{n} | \Psi_{n} \rangle \langle \Psi_{n} | \hat{O} \right) | \alpha \rangle$$

The state of a non-isolated system is fully determined by the density operator $\hat{\rho} = \sum_{n} \prod_{n} |\Psi_{n}\rangle \langle \Psi_{n}|$

The average value of an observable \hat{O} then reads as $\langle O \rangle = \text{Tr}(\hat{\rho}\hat{O})$.

<u>N.B.</u>: We have not assumed that the $|\Psi_n\rangle$'s are orthogonal.

Density operator $\hat{\rho} = \sum_{n} \Pi_{n} |\Psi_{n}\rangle \langle \Psi_{n}|$

Immediate properties :

(i) $\hat{\rho}$ is a positive Hermitian operator (in general non definite)

(ii) Normalization : $Tr(\hat{\rho})=1$

(iii) $\hat{\rho}$ can be diagonalized in an orthonormal basis $\{|\alpha\rangle\}$:

 $\hat{\rho} {=} \sum\nolimits_{\alpha} \Pi_{\alpha} |\alpha\rangle \langle \alpha|$

⇒ The eigenvalues of $\hat{\rho}$, $0 \le \Pi_{\alpha} \le 1$, may be interpreted as the probabilities that the system is in $|\alpha\rangle$.

<u>Consequence :</u> Any positive Hermitian operator, with a unit trace can be as the density operator of a given system in a certain state.

When can a system be described by a ket?

(*) For an isolated system described by $|\Psi\rangle$, we have $\hat{\rho} = |\Psi\rangle\langle\Psi|$ (projector on $|\Psi\rangle$). Hence, $\hat{\rho} = \hat{\rho}^2$ and

$$\operatorname{Tr}(\hat{\rho}^2) = 1$$

(*) In general, we may write $\hat{\rho} = \sum_{\alpha} \Pi_{\alpha} |\alpha\rangle \langle \alpha|$ with $\{|\alpha\rangle\}$ an orthonormal basis, and we have

$$\mathrm{Tr}\left(\hat{\rho}^{2}\right) = \sum_{\alpha} \Pi_{\alpha}^{2} \leq \sum_{\alpha} \Pi_{\alpha} = 1$$

Hence, $\operatorname{Tr}(\hat{\rho}^2) \leq 1$, with equality iff $\hat{\rho}$ has a single non-zero eigenvalue (*e.g.* $\Pi_0 = 1$ and $\Pi_{\alpha>0} = 0$).

A system can be described by a ket, *i.e.* $\hat{\rho} = |\Psi\rangle\langle\Psi|$ iff its density operator $\hat{\rho}$ has a single non-zero eigenvalue iff $Tr(\hat{\rho}^2)=1$ (purity) We then call it a pure state. Otherwise, it is called a mixed state.

Quantum Liouville equation

Assume that S is prepared in a mixed state, then isolated, and let is evolve.



The dynamical equation follows :

$$i\hbar\frac{d\hat{\rho}}{dt} = i\hbar\frac{d}{dt}\Big(\sum_{n}\Pi_{n}|\Psi_{n}\rangle\langle\Psi_{n}|\Big) = \sum_{n}\Pi_{n}\Big(i\hbar\frac{d|\Psi_{n}\rangle}{dt}\langle\Psi_{n}|+i\hbar|\Psi_{n}\rangle\frac{d\langle\Psi_{n}|}{dt}\Big)$$
$$= \sum_{n}\Pi_{n}\Big(\hat{H}(t)|\Psi_{n}\rangle\langle\Psi_{n}|-|\Psi_{n}\rangle\langle\Psi_{n}|\hat{H}(t)\Big) = \hat{H}(t)\hat{\rho}-\hat{\rho}\hat{H}(t)$$

The dynamical equation for a system isolated during the evolution reads as $\frac{d\hat{\rho}}{dt} = \frac{1}{i\hbar} [\hat{H}(t), \hat{\rho}]$

It is the *quantum Liouville equation*. Its solution reads as $\hat{\rho}(t) = U(t)\hat{\rho}(0)U^{\dagger}(t)$.

Let us now take into account the coupling of S with the bath B. The system $S \otimes B$ is isolated.



In general, the pure state $|\Psi\rangle_{S\otimes B}$ is an entangled state of the subsystems S and B.

Description of a Non-Isolated System

In general, a non-isolated system S cannot be described by a ket $|\Psi_s\rangle$

Example : An atom coupled to the vacuum (spontaneous emission)

 $|\Psi(0)\rangle = |e, \vec{p} = 0\rangle_{A} \otimes |0\rangle_{R}$ atom-radiation field coupling $|\Psi(t)\rangle = \alpha_{0}(t)|\mathbf{e}, \vec{p} = 0\rangle_{\mathbf{A}} \otimes |0\rangle_{\mathbf{R}} + \sum_{t} \beta_{t}(t)|\mathbf{g}, \vec{p} = -\hbar \vec{k}_{t}\rangle_{\mathbf{A}} \otimes |1\rangle_{t}$ (1) = m of a photon) $\vec{p} = -\hbar \vec{k}_{t} \quad |\mathbf{e}\rangle$ $|\Psi'\rangle = |g, \vec{p} = -\hbar \vec{k}_t \rangle_A \otimes |1\rangle_t \quad \text{with probability} \quad \Pi_t \propto |\beta_t|^2$ $|\psi'\rangle_A = |g, \vec{p} = -\hbar \vec{k}_t \rangle_A \quad \text{with probability} \quad \Pi_t \propto |\beta_t|^2$



 \Rightarrow The system is « prepared » in a non-well defined state

Consider $S \otimes B$ as composed of the two subsystems S and B.

Example : An atom coupled to the vacuum (spontaneous emission)



 \Rightarrow Can we describe the state of S, which is entangled which that of B with a density operator ?

As before, we need be able to determine the results of any measurement on S alone (independently of B).

Let \hat{O}_s be observable on S alone. For the state

$$|\Psi\rangle_{\mathsf{S}\otimes\mathsf{B}} = \sum_{1 \leq n \leq \dim(\mathscr{H}_{\mathsf{B}})} c_n |\psi_n\rangle_{\mathsf{S}} \otimes |\chi_n\rangle_{\mathsf{B}}$$

we have
$$\langle O_{\rm S} \rangle =_{{\rm S} \otimes {\rm B}} \langle \Psi | (\hat{O}_{\rm S} \otimes \hat{1}_{\rm B}) | \Psi \rangle_{{\rm S} \otimes {\rm B}}$$

$$= \sum_{n} |c_{n}|^{2} \langle \psi_{n} | \hat{O}_{\rm S} | \psi_{n} \rangle_{{\rm S}}$$

→ We find the same formula as when S is in the ket $|\psi_n\rangle$ avec probabilité $\prod_n \equiv |c_n|^2$!

The state of the subsystem *S* is described by the density operator $\hat{\rho}_{s} = \sum_{n} |c_{n}|^{2} |\psi_{n}\rangle \langle \psi_{n}|$.

The average of an observable
$$\hat{O}_s$$
 on S alone
reads as $\langle O_s \rangle = \text{Tr}(\hat{\rho}_s \hat{O}_s)$.



This result also applies to the case where $S \otimes B$ is itself in a mixed state, described by the density operator $\hat{\rho}_{S \otimes B}$.

For an observable \hat{O}_s acting on S alone, one can split the traces acting on different Hilbert spaces. One then finds

$$\langle O_{\rm S} \rangle = \operatorname{Tr}_{{\rm S} \otimes {\rm B}}(\hat{\rho}_{{\rm S} \otimes {\rm B}} \hat{O}_{\rm S}) = \operatorname{Tr}_{\rm S}(\hat{\rho}_{\rm S} \hat{O}_{\rm S})$$

où $\hat{\rho}_{S} {=} \text{Tr}_{B} (\hat{\rho}_{S \otimes B})$



<u>N. B.</u>: It is easily shown that $\hat{\rho}_s$ fulfills all the properties of a density operator (Hermitian, positive, unit trace).

The state of the subsystem S of the system S \otimes B in the mixed state described by the density operator $\hat{\rho}_{S \otimes B}$ is fully described by the reduced density operator $\hat{\rho}_{S \otimes B} = Tr_{R}(\hat{\rho}_{S \otimes B})$ (partial trace)

The average of an observable \hat{O}_s on S alone reads as $\langle O_s \rangle = \text{Tr}_s (\hat{\rho}_s \hat{O}_s)$.

Quantum Liouville equation

Assume that the system $S \otimes B$ is prepared in an arbitrary mixed state, that S and B are then decoupled at *t*=0, and let the system evolve.



The dynamical equation for S⊗B reads as



The dynamical equation of a subsystem isolated during the evolution reads as

$$\frac{d\hat{\rho}_{\rm S}}{dt} = \frac{1}{i\hbar} \left[\hat{H}_{\rm S}(t), \hat{\rho}_{\rm S} \right]$$

It is the quantum Liouville equation. Its solution reads as $\hat{\rho}_{s}(t) = U_{s}(t)\hat{\rho}_{s}(0)U_{s}^{\dagger}(t)$.

Generalized von Neumann Measurement Scheme



If the result of the measurement is read and yields O_i

- $O_{j} \text{ is found with probability } P_{j} = \operatorname{Tr}(\hat{\rho}_{s}\hat{\mathscr{P}}_{j})$ After the measurement, the state of S becomes $\hat{\rho}_{s}' = \hat{\rho}_{s|j} = \frac{\hat{\mathscr{P}}_{j}\hat{\rho}_{s}\hat{\mathscr{P}}_{j}}{\operatorname{Tr}(\hat{\mathscr{P}}_{j}\hat{\rho}_{s}\hat{\mathscr{P}}_{j})} = \frac{\hat{\mathscr{P}}_{j}\hat{\rho}_{s}\hat{\mathscr{P}}_{j}}{P_{j}}$
- \rightarrow Any further measurement yields the same result, O_i .

If the result of the measurement is not read, $\hat{\rho}_{s'} = \sum_{j} P_{j} \hat{\rho}_{s|j} = \sum_{j} \hat{\mathscr{P}}_{j} \hat{\rho}_{s} \hat{\mathscr{P}}_{j}$

 \rightarrow Destruction of the coherences between the states corresponding to different values of O_i (included in the measurement postulate)